



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**SIEGEL et al.**

Serial No.: 09/489,440

Filed: January 21, 2000

Art Unit: 1773

Examiner: Not yet assigned

Atty. Docket No.: 99-009

**3-D IMAGING ARRANGEMENTS**

Pittsburgh, Pennsylvania 15230

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED**

BOX MISSING PARTS  
Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice To File Missing Parts Of  
Application - Filing Date Granted mailed on March 29, 2000,  
Applicants hereby submit the following:

1. ☒ An original Combined Declaration And Power Of Attorney.
2. ☐ An assignment of the application to [Assignee] and an  
assignment recordation cover sheet (in duplicate).

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being

**MAILED**


☒ deposited with the United States Postal Service on April 6, 2000, with sufficient postage as first-class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.

**FACSIMILE**

☐ transmitted by facsimile on [date] to the U.S. Patent and Trademark Office.

Tracey A. Cochran

Type Signature Name

  
(Signature of person mailing paper or fee)

\_\_\_\_\_  
(Signature of person mailing paper or fee)

3. ☒ A verified statement claiming small entity status

☒ is attached.

☐ has been filed.

☐ A separate refund request accompanies this paper.

4. ☒ The filing fee is calculated below.

FOR	(Col. 1)	(Col. 2)	SMALL ENTITY		OTHER THAN		
	NO. ON FILE	NO. EXTRA	RATE	FEE	A SMALL ENTITY	RATE FEE	
Basic Fee	////////	////////	////	\$345	OR	////	\$690
Total	27-20	7	x \$ 9	= \$63	OR X	\$ 18	= \$0
Claims							
Ind. Claims	6-3	3	x \$ 39	= \$117	OR X	\$ 78	= \$0
<input type="checkbox"/> Multiple	Dependent		x \$130	= \$0	OR +	\$260	= \$0
Claims	Presented						
				<u>TOTAL</u>	=	<u>TOTAL</u>	=
				\$525	OR	\$0	

5. The surcharge for late payment of the filing fee and/or the late filing of an original Declaration is:

☒ \$65.00 (small entity) ☐ \$130.00 (large entity)

6. Fee Payment Being Made:

☒ Enclosed

☒ Filing fee \$525

☒ Surcharge \$ 65

☐ Recording Assignment

[\$40.00; 37 CFR 1.21(h)] \$

Total fees enclosed \$590

7. ☒ A check in the amount of \$525 to cover the filing fee is enclosed.

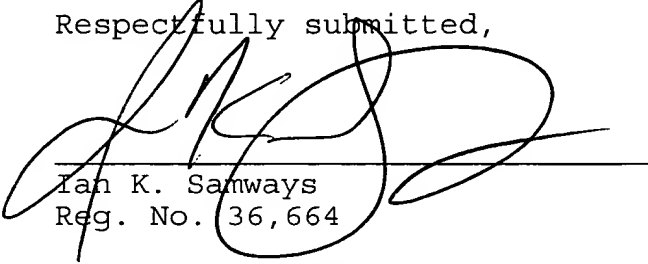
8. ☒ A check in the amount of \$65 to cover the surcharge is enclosed.

9. ☐ A check in the amount of \$[Amount] to cover the assignment recordation fee is enclosed.

10. ☐ The Commissioner is authorized to charge the \$[Amount] filing fee, the \$[Amount] surcharge and the \$[Amount] assignment recordation fee to Deposit Account No. 18-0582.
11. ☒ The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 18-0582. A duplicate copy of this paper is attached.

Respectfully submitted,

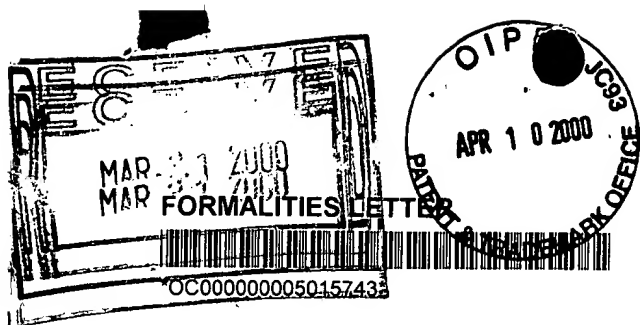
Dated: April 6, 2000



Ian K. Samways  
Reg. No. 36,664

REED SMITH SHAW & MCCLAY LLP  
P.O. Box 488  
Pittsburgh, PA 15230  
(412) 288-4160

Agent for Applicants



3 file:///c:/APPS/preexam/correspondence/4.htm  
*Sector \$ ✓*  
**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/489,440	01/21/2000	UNKOWN SIEGEL	99-009

Ian K Samways  
Reed Smith SHAW & McClay LLP  
P O Box 488  
Pittsburgh, PA 15230-0488

Date Mailed: 03/29/2000

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$360.
  - \$126 for 7 total claims over 20.
  - \$234 for 3 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1180.**

---

***A copy of this notice MUST be returned with the reply.***

*Kim Duncan*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

04/13/2000 MICHAEL 00000031 09489440

01 FC:201	345.00 OP
02 FC:205	65.00 OP
03 FC:203	63.00 OP
04 FC:202	117.00 OP

REQUIREMENT PLACED ON  
EACH OF CONTROL ON

3-31-00  
(C...CE)

*(Signature)*  
(Initials)